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Docket No. AUS920040005US1 Serial No. 10/761,163 Atty: AJP

Applicant: BROWN ET AL

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Fees: Amendment Notice of Appeal Appeal Brief Other

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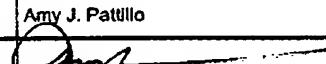
**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages In This Submission	Application Number	10/761,163
	Filing Date	1/20/2004
	First Named Inventor	De La Cruz et al.
	Art Unit	2113
	Examiner Name	Beausoleil Jr., R.
	Attorney Docket Number	AUS920040005US1

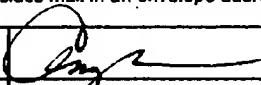
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Amy J. Pattillo		
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Amy J. Pattillo

Date

10/10/2005 

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DOCKET NUMBER AUS920040005US1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

De La Cruz et al.

Serial No: 10/761,163

Filed: 1/20/2004

Title: **MANAGING FAILOVER OF J2EE
COMPLIANT MIDDLEWARE IN A HIGH
AVAILABILITY SYSTEM**

Group Art Unit: 2113

IBM CORPORATION
C/O AMY PATTILLO
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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

10/761,163

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DOCKET NUMBER AUS920040005US1

The undersigned certifies that this IDS is being submitted before mailing of a first Office Action on the merits.

In the event a fee is required in connection with the enclosed Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

 on 10/10/05

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Attorney Docket No.	AUS920040005US1												

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